

Ha Noi, August 28th, 2026

DECISION

**On the issuance of the Regulation on enhanced equity trade surveillance
during FTSE RUSSELL Index review periods**

**THE BOARD OF MEMBERS
OF THE VIETNAM EXCHANGE**

*Pursuant to the Law on Securities No. 54/2019/QH14 dated November 26th, 2019,
as amended and supplemented by Law No. 56/2024/QH15 dated November 29th,
2024;*

*Pursuant to Decision No. 37/2020/QĐ-TTg dated December 23rd, 2020 of the
Prime Minister on the establishment, organization and operation of the Vietnam
Exchange;*

*Pursuant to Circular No. 95/2020/TT-BTC dated November 16th, 2020 of the
Minister of Finance providing guidance on the surveillance of securities
transactions on the securities market, as amended and supplemented by Circular
No. 138/2025/TT-BTC dated December 30th, 2025;*

*Pursuant to Official Document No. 8558/UBCK-GS dated August 28th, 2026 of
the State Securities Commission regarding the consideration and approval of the
Regulation on enhanced equity trade surveillance during FTSE RUSSELL Index
review periods;*

*Pursuant to Resolution No. 39/NQ-HĐTV dated August 28, 2026 of the Board of
Members on approving the draft Regulation on Enhanced equity trade
surveillance during FTSE Russell index review periods;*

At the proposal of the Chief Executive Officer of the Vietnam Exchange.

HEREBY DECIDES:

Article 1. To issue enclosed with this Decision on the Regulation on enhanced equity trade surveillance during FTSE RUSSELL Index review periods.

Article 2. This Decision shall take effect from the date of its signing.

Article 3. The Chief Executive Officer of the Vietnam Exchange, Heads of Departments under the Vietnam Exchange, the Ho Chi Minh Stock Exchange, and

relevant organizations and individuals shall be responsible for the implementation of this Decision./.

Recipients:

- As specified in Article 3;
- State Securities Commission (for reporting);
- The Board of Members, Supervisory Board;
- Archives: Administration dept., Market Surveillance dept. (16 copies).

**ON BEHALF OF THE BOARD OF MEMBERS
CHAIRMAN**

Luong Hai Sinh