PRUDENTIAL RATIO STATEMENT

WALL STREET SECURITIES JOINT STOCK COMPANY

As at 30 June 2026

(reviewed)

CONTENTS

REPORT OF THE BOARD OF MANAGEMENT AND THE BOARD OF GENERAL DIRECTORS

The Board of Management and The Board of General Directors of Wall Street Securities Joint Stock Company ("the Company") presents its report and the Company's prudential ratio statements as at 30 June 2026.

THE COMPANY

Wall Street Securities Joint Stock Company was established and operates under Establishment and Operation License No. 86/GP-UBCK issued by the State Securities Commission on December 20, 2007, and the amended license No. 84/GPĐC-UBCK dated November 15, 2024 issued by the State Securities Commission.

The Company's head office is located at: 9th Floor, Icon4 Building, 243A De La Thanh Street, Lang Ward, Hanoi City.

THE BOARD OF MANAGEMENT, THE BOARD OF GENERAL DIRECTORS AND BOARD OF SUPERVISION

The members of The Board of Management, The Board of General Directors, and The Board of Supervision during the period and to the reporting date are:

Board of Directors:

Mr.	Nguyen Dinh Tu	Chairman
Mr.	Pham Duc Long	Vice Chairman
Mr.	Nguyen Viet Thang	Member
Mr.	Nguyen Dang Truong	Member
Mr.	Tran Anh Dung	Member

Board of Management:

Mr.	Nguyen Dang Truong	General Director
Mr.	Nguyen Viet Thang	Deputy General Director
Mr.	Nguyen Tien Dat	Deputy General Director (Appointed on 2 March 2026)
Ms.	Vu Thi Yen	Chief Accountant

Board of Supervision:

Mr.	Tran Dinh Tung	Head of Management
Mr.	Trinh Cong Thang	Member

LEGAL REPRESENTATIVE

The legal representative of the Company during the year and up to the date of this report is Mr. Nguyen Dinh Tu, Chairman of the Board of General Directors.

Mr. Nguyen Dang Truong – General Director, authorized by Mr. Nguyen Dinh Tu to sign this Report on the Financial Safety Ratio pursuant to the Authorization Letter No. 24/2024/UQ-WSS dated 4 December 2024.

AUDITORS

The auditors of the AASC Auditing Firm Company Limited take the review of Prudential ratio statement for the Company.

STATEMENT OF THE BOARD OF MANAGEMENT AND THE BOARD OF GENERAL DIRECTORS' RESPONSIBILITY IN RESPECT OF THE PRUDENTIAL RATIO STATEMENT

The Board of Management and The Board of General Directors of the Company ensures that the information system and internal control system are established and maintained to ensure that the financial safety ratios are fairly and reasonably presented at any time in accordance with the requirements of Circular No. 91/2020/TT-BTC dated 13 November 2020 issued by the Ministry of Finance on financial safety ratios and measures for handling securities business organizations that fail to meet financial safety ratios, as amended and supplemented by Circular No. 102/2025/TT-BTC dated 29 October 2025 of the Ministry of Finance.

The Board of Management and The Board of General Directors of the Company confirm that it has complied with the requirements of Circular No. 91/2020/TT-BTC dated 13 November 2020 issued by the Ministry of Finance on financial safety ratios and measures for handling securities business organizations that fail to meet the financial safety ratios, as amended and supplemented by Circular No. 102/2025/TT-BTC dated 29 October 2025 of the Ministry of Finance, in the preparation and presentation of the Financial Safety Ratio Report as at 30 June 2026.

Other Commitment

We confirm that our Company has fully complied with the information disclosure obligations in accordance with the applicable laws and regulations of Vietnam.

On behalf of The Board of Management and The Board of General Directors

S.G.P: 86 - C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHỐ WALL
Q. ĐỐNG ĐA - TP. HÀ NỘI

Nguyen Dang Truong
General Director (Pursuant to Power of Attorney No. 24/2024/UQ-WSS dated 04 December 2024)
Approved, 13 August 2026

CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHỐ WALL

Digitally signed by CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHỐ WALL
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No.: 140826.012/BCTC.KT7

REVIEW REPORT ON INTERIM FINANCIAL INFORMATION

To: **Shareholders, The Board of Management and The Board of General Directors**
Wall Street Securities Joint Stock Company

We have reviewed the accompanying Prudential Ratio Report as at 30 June 2026 of Wall Street Securities Joint Stock Company, which was prepared on 13 August 2026, from pages 06 to 16. The Prudential Ratio Report was prepared by the Board of Management and the Board of General Directors of Wall Street Securities Joint Stock Company in accordance with the requirements for the preparation and presentation of reports prescribed in Circular No. 91/2020/TT-BTC dated 13 November 2020 issued by the Ministry of Finance on financial safety ratios and measures for handling securities business organizations that fail to meet the financial safety ratios, as amended and supplemented by Circular No. 102/2025/TT-BTC dated 29 October 2025.

The Board of Management and The Board of General Directors' responsibility

The Board of Management and the Board of General Directors of the Company are responsible for the preparation and fair presentation of the Company's Prudential Ratio Report in accordance with the legal requirements for the preparation and presentation of reports prescribed in Circular No. 91/2020/TT-BTC dated 13 November 2020, as amended and supplemented by Circular No. 102/2025/TT-BTC dated 29 October 2025, issued by the Ministry of Finance on financial safety ratios and measures for handling securities business organizations that fail to meet the financial safety ratios, and for such internal control as the Board of Management and the Board of General Directors determine is necessary to enable the preparation and fair presentation of the Prudential Ratio Report that is free from material misstatement, whether due to fraud or error.

Auditor's responsibility

Our responsibility is to express an opinion on this Prudential ratio statement based on our audit. We conducted our audit in accordance with Vietnamese standards on auditing No.2410 - Review of interim financial information performed by the independent auditor of the entity.

A review of interim financial statements includes inquiries, primarily of persons responsible for financial and accounting matters, and analytical and other review procedures. A review is substantially less in scope than an audit conducted in accordance with Vietnamese Standards on Auditing and, therefore, does not enable us to obtain assurance that we would become aware of all significant matters that might be identified in an audit. Accordingly, we do not express an audit opinion.

Auditor's opinion

Based on the results of our review, nothing has come to our attention that causes us to believe that the Statement of Financial Safety Ratio of Wall Street Securities Joint Stock Company as at 30 June 2026 has not been prepared, in all material respects, in accordance with the regulations on the preparation and presentation of reports prescribed in Circular No. 91/2020/TT-BTC dated 13 November 2020 issued by the Ministry of Finance on financial safety ratios and handling measures for securities companies that fail to meet the prescribed financial safety ratios, as amended and supplemented by Circular No. 102/2025/TT-BTC dated 29 October 2025.

Other matters

This Financial Safety Ratio Report has been prepared to assist Wall Street Securities Company in complying with the requirements of the state regulatory authorities in accordance with Circular No. 91/2020/TT-BTC dated 13 November 2020 issued by the Ministry of Finance on financial safety indicators and measures for handling securities business organizations that fail to meet the prescribed financial safety indicators, as amended and supplemented by Circular No. 102/2025/TT-BTC dated 29 October 2025 issued by the Ministry of Finance. Accordingly, this Financial Safety Ratio Report may not be suitable for any other purpose.

Wall Street Securities Joint Stock Company has prepared a set of financial statements for the accounting period from 1 January 2026 to 30 June 2026 in accordance with Vietnamese Accounting Standards, the Vietnamese Accounting System applicable to securities companies and relevant statutory regulations on the preparation and presentation of financial statements, on which we issued a review report on the interim financial information to Wall Street Securities Joint Stock Company on 14 August 2026.

AASC Auditing Firm Company Limited

M.S.D.N: 0100111105 - C.T.T.N.H.H

CÔNG TY TRÁCH NHIỆM HỮU HẠN HÃNG KIỂM TOÁN AASC

THÀNH PHỐ HÀ NỘI

Cat Thi Ha
Deputy General Director
Registered Auditor
No: 0725-2023-002-1
Ha Noi, 14 August 2026

WALL STREET SECURITIES JOINT STOCK COMPANY

SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness

No.:
Re: Prudential ratio statement

To: The State Securities Commission

PRUDENTIAL RATIO STATEMENT

As at 30 June 2026

We commit that:

(1) The report is prepared based on the data updated as at the reporting date in accordance with the provisions of Circular No. 91/2020/TT-BTC dated 13 November 2020 issued by the Ministry of Finance on financial safety ratios and measures for handling securities business organizations that fail to meet financial safety ratios, as amended and supplemented by Circular No. 102/2025/TT-BTC dated 29 October 2025 of the Ministry of Finance.

(2) The issues that might affect the financial condition of the Company, which arise after this statement is made, shall be updated in the subsequent statement;

(3) We are responsible before law for the accuracy and the truthfulness of the statement.

S.G.P. 86 - C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHỐ WALL
Q. ĐỐNG ĐA - TP. HÀ NỘI

Vu Thi Yen Preparer	**Trinh Cong Thang** Head of Internal Control	**Nguyen Dang Truong** General Director (Pursuant to Power of Attorney No. 24/2024/UQ-WSS dated 04 December 2024) *Approved, 13 August 2026*

PRUDENTIAL RATIO STATEMENT

As at 30 June 2026

I. LIQUIDITY

NO.	CONTENT	LIQUIDITY		
		Liquidity	Deductions	Increases
A	**OWNER'S EQUITY**	(1)	(2)	(3)
1	Owner investment capital, excluding refunded preferred equity (if any)	503,000,000,000		
2	Equity surplus, excluding refunded preferred equity (if any)	-		
3	Treasury stocks	-		
4	Bond conversion option - Capital component	-		
5	Other owner's equity	-		
6	Differences in revaluation of assets at fair value	-		
7	Reserve fund for charter capital supplementation	-		
8	Financial provision and professional risk	8,353,605,115		
9	Other funds pertaining to equity	-		
10	Realized undistributed profit after tax	50,383,788,210		
11	Provision for impairment of assets	102,000,000		
12	Difference in revaluation of fixed assets	-		
13	Foreign exchange rate difference	-		
14	Convertible debts			-
15	Total decrease or increase of securities of financial investment		19,013,779,890	-
16	Other equity (if any)	-		
1A	**Total**			**542,825,613,435**
B	**Short-term assets**			
1	**Financial assets**			
1	Cash and cash equivalents			
2	Fair value through profit and loss financial assets (FVTPL)			
	- Securities with market risk			
	- Securities deducted from liquidity		-	
3	Held-to-maturity investments (HTM)			
	- Securities with market risk			
	- Securities deducted from liquidity		-	
4	Loans			
5	Financial assets available for sale (AFS)			
	- Securities with market risk			
	- Securities deducted from liquidity		-	
6	Provision for impairment of financial assets and mortgage assets			
7	Receivables from clients (Receivables from sale of financial assets; Receivables from and accruals for dividend and interest income)			
	- Receivables from customers having the remaining payment period of 90 days or less			
	- Receivables from customers having the remaining payment period of over 90 days		-	
	- Receivables not yet due but the counterparty is insolvent		-	

PRUDENTIAL RATIO STATEMENT

As at 30 June 2026

I. LIQUIDITY

NO.	CONTENT	LIQUIDITY		
		Liquidity	Deductions	Increases
8	Warrant certificate is not yet issued			
9	Basic securities for the purpose of risk prevention when issuing warrant certificates		-	
10	Receivables from services provided by the			
	- Receivables have the remaining payment period of 90 days or less			
	- Receivables have the remaining payment period of over 90 days		-	
	- Receivables not yet due but the counterparty is insolvent		-	
11	Short-term internal receivables			
	- Internal receivables have the remaining payment period of 90 days or less			
	- Internal receivables have the remaining payment period of over 90 days		-	
	- Receivables not yet due but the counterparty is insolvent		-	
12	Receivables from securities trading error			
	- Receivables have the remaining payment period of 90 days or less			
	- Receivables have the remaining payment period of over 90 days		-	
	- Receivables not yet due but the counterparty is insolvent		-	
13	Other receivables			
	- Other receivables have the remaining payment period of 90 days or less			
	- Other receivables have the remaining payment period of over 90 days		-	
14	Provision for impairment of receivables			
II	**Other short-term assets**		-	
1	Advances			
	- Advances have the remaining payment period of 90 days or less			
	- Advances have the remaining payment period of over 90 days		-	
	- Advances not yet due but the counterparty is insolvent.		-	
2	Office supplies, tools		-	
3	Short – term prepaid expenses		290,700,766	
4	Mortgages, mortgages, collateral, short-term		-	
5	VAT deducted		1,029,087,858	
6	Taxes and receivables to state budget		9,791,025,170	
7	Other short-term assets		33,200,000	
8	Provision for impairment of other short - term			
1B	**Total**			**11,144,013,794**

PRUDENTIAL RATIO STATEMENT

As at 30 June 2026

I. LIQUIDITY

NO.	CONTENT	LIQUIDITY		
		Liquidity	Deductions	Increases
C	**Long-term assets**			
I	**Long - term financial assets**			
1	Long-term receivables		-	
2	Investments			
2.1	Held-to-maturity investments (HTM)			
	- Securities with market risk			
	- Securities deducted from liquidity		-	
2.2	Investments in subsidiaries		-	
2.3	Other long-term investments		-	
II	**Fixed assets**		**667,490,021**	
III	**Investment properties**		-	
IV	**Construction in progress**		**350,000,000**	
V	**Other long-term assets**			
1	Long-term pledges, mortgages, deposits and		56,700,000	
2	Long – term prepaid expenses		8,196,593,344	
3	Deferred income tax assets		-	
4	Deposits to Settlement Assistance Fund		4,692,434,096	
5	Other long - term assets		-	
VI	**Asset items** subject to qualifications, adverse opinions or disclaimers of opinion in the audited or reviewed financial statements that are not deducted in accordance with Article 5			
1C	**Total**			**13,963,217,461**
D	**Collateral guaranteed asset**			
1	Margin deposit value			
1.1	Contribution to the Payment Support Fund of the Vietnam Securities Depository and Clearing Corporation.		-	
1.2	Contribution to the clearing fund of the Central Counterparty for the open positions of the clearing member.		-	
1.3	Cash margin and the value of bank payment guarantees when issuing covered warrants.		-	
2	Value of assets used as security for the obligations of the securities company and other organizations and individuals (detailed by each counterparty)		-	
1D	**Total**			-
LIQUIDITY = 1A-1B-1C-1D				**517,718,382,180**

PRUDENTIAL RATIO STATEMENT

As at 30 June 2026

II. RISK VALUE

A	MARKET RISKS			
Investment items		**Risk coefficient** (1)	**Scale of risk** (2)	**Value of risk** (3) = (1) x (2)
I	**Cash and cash equivalents, instruments of the money market**			-
1	Cash (VND) and demand deposits at banks	0%	47,212,933,502	-
2	Cash equivalents	0%	-	-
3	Valuable papers, transferring instruments on the money market, certificates of deposit	0%	258,938,823,290	-
II	**Government bonds**			-
4	Government bonds without interest	0%	-	-
5.	Coupon-bearing Government bonds: Government bonds (including Government bonds and project bonds issued previously), Government bonds of OECD member countries or bonds guaranteed by the Governments or Central Banks of such countries, bonds issued by the international organizations IBRD, ADB, IADB, AfDB, EIB and EBRD, and local government bonds.	3%		
III	**Listed and unlisted bonds issued by credit institutions**			-
6	The bonds issued by credit institutions with remaining maturity of less than 1 year, including convertible bonds	0%	-	-
	The bonds issued by credit institutions with remaining maturity from 1 year to less than 3 years, including convertible bonds	3%		
	The bonds issued by credit institutions with remaining maturity from 3 years to less than 5 years, including convertible bonds	5%	-	-
	The bonds issued by credit institutions with remaining maturity of 5 years or more, including convertible bonds.	10%	-	-
IV	**Corporate bonds**			-
	Listed corporate bonds			
7	The listed bond has the remaining maturity time of less than 01 year, including convertible bond	0%	-	-
	The listed bond has the maturity time from 01 to under 03 years, including convertible bond	5%	-	-
	The listed bond has the maturity time from 03 to under 05 years, including convertible bond	10%	-	-
	The listed bond has the maturity time from 05 years or more, including convertible bond	15%	-	-

PRUDENTIAL RATIO STATEMENT

As at 30 June 2026

II. RISK VALUE

A	MARKET RISKS			
Investment items		Risk coefficient (1)	Scale of risk (2)	Value of risk (3) = (1) x (2)
	Unlisted corporate bonds		-	-
8	Unlisted bonds issued by listed enterprises with a remaining maturity of less than 01 year, including convertible bonds	5%	-	-
	Unlisted bonds issued by listed enterprises with a remaining maturity of 01 year to less than 03 years, including convertible bonds	10%		
	Unlisted bonds issued by listed enterprises with a remaining maturity of 03 years to less than 05 years, including convertible bonds	20%	-	-
	Unlisted bonds issued by listed enterprises with a remaining maturity of 05 years or more, including convertible bonds	25%	-	-
	Unlisted bonds issued by other enterprises with a remaining maturity of less than 01 year, including convertible bonds	15%	-	-
	Unlisted bonds issued by other enterprises with a remaining maturity of 01 year to less than 03 years, including convertible bonds	20%	-	-
	Unlisted bonds issued by other enterprises with a remaining maturity of 03 years to less than 05 years, including convertible bonds	30%	-	-
	Unlisted bonds issued by other enterprises with a remaining maturity of 05 years or more, including convertible bonds	35%	-	-
	List the credit rating results for bonds/issuers (details for each bond/issuer): - Clearly state the credit rating agency, the date of the credit rating announcement, and the rating level assigned to the bond/issuer.			
V	**Shares**			**16,426,493,356**
9	Ordinary shares and preference shares of organizations listed on the Stock Exchange	10%	88,815,661,050	8,881,566,105
10	Common shares and preferred shares of unlisted public companies registered for trading on the UPCoM system	20%	37,724,636,253	7,544,927,251
11	Common shares and preferred shares of public companies that have registered for depository services but are not yet listed or registered for trading; shares in the initial public offering (IPO)	30%	-	-
VI	**Certificate of securities investment funds**			-
12	Public funds, public investment companies	10%	-	-
13	Member funds	50%	-	-
14	Separate investment companies	30%	-	-

PRUDENTIAL RATIO STATEMENT

As at 30 June 2026

II. RISK VALUE

A	MARKET RISKS			
Investment items		**Risk coefficient** (1)	**Scale of risk** (2)	**Value of risk** (3) = (1) x (2)
VII	**Securities subject to warning, control, trading restriction, trading halt, trading suspension, delisting, or trading cancellation**			**480,922**
15	Securities under warning	35%	-	-
16	Securities under control	40%	-	-
17	Securities under trading suspension or trading	60%	-	-
18	Securities under trading suspension	70%	-	-
19	Securities under delisting or trading cancellation	80%	601,152	480,922
VIII	**Derivatives**			-
20	Stock index futures contracts	8%	-	-
Calculation method: Risk value = Max {((End-of-day settlement value – value of securities purchased to ensure the settlement obligation of futures contracts) × risk coefficient of the futures contracts – margin value (the contribution to the clearing fund for the open positions of the securities company)), 0}. End-of-day settlement value = End-of-day settlement price × open position volume.				
21	Government bond futures contracts	3%	-	-
Calculation method: Risk value = Max {((End-of-day settlement value – value of securities purchased to ensure the settlement obligation of futures contracts) × risk coefficient of the futures contracts – margin value (the contribution to the clearing fund for the open positions of the securities company)), 0}. End-of-day settlement value = End-of-day settlement price × open position volume.				
IX	**Other securities**			**39,200,000,000**
22	Shares listed on foreign markets that are constituents of eligible indices / indices listed in Appendix VIII	25%	-	-
23	Shares listed on foreign markets that are not constituents of eligible indices / indices listed in Appendix VIII	100%	-	-
24	Covered warrants listed on the Ho Chi Minh City Stock Exchange	8%	-	-
25	Arbitrage transactions	2%	-	-
26	Shares, capital contributions, other securities and other investment assets	80%	49,000,000,000	39,200,000,000
27	Covered warrants issued by securities companies		-	-
Calculation method: Market risk value = Max {(($P_0 \times Q_0 / k - P_1 \times Q_1$) × r – MD), 0}.				
28	Securities formed from hedging activities for covered warrants issued by the securities company (in cases where the covered warrants are out-of-the-money)		-	-
29	The positive difference between the value of underlying securities used for hedging and the value of underlying securities required for hedging covered warrants.		-	-
VIII	**The additional risk (if any) (based on the liquidity after making all provisions)**			-
A	**TOTAL MARKET RISK VALUE (A= I+II+III+IV+V+VI+VII+VIII+IX+X)**			**55,626,974,278**

PRUDENTIAL RATIO STATEMENT

As at 30 June 2026

II. RISK VALUE

B SETTLEMENT RISKS

	Risk value
	VND
Risk before settlement deadline (Note 1)	15,612,168,624
Overdue settlement risk (Note 2)	739,944
Risk from advances, contracts, and other transactions (Note 3)	-
Additional risk (Note 4)	3,966,098,819
Total value of settlement risks	**19,579,007,387**

1. Risk before settlement deadline

Form of trading		Value of risk						Total value of risk
		(1)	(2)	(3)	(4)	(5)	(6)	
1	Time deposits, certificates of deposit, unsecured loans, receivables arising from securities trading and business operations, and other items potentially subject to settlement risk	-	-	-	-	15,534,000,000	78,168,624	15,612,168,624
2	Lending of financial assets/Economic agreements of the same nature	-	-	-	-	-	-	-
3	Borrowing of financial assets/Economic agreements of the same nature	-	-	-	-	-	-	-
4	Contracts for the purchase of financial assets with an agreement to resell/Economic agreements of the same nature	-	-	-	-	-	-	-
5	Contracts for the sale of financial assets with an agreement to repurchase/Economic agreements of the same nature	-	-	-	-	-	-	-
TOTAL RISK BEFORE SETTLEMENT								15,612,168,624

PRUDENTIAL RATIO STATEMENT

As at 30 June 2026

Details of settlement risk by counterparty determined by the Company are as follows:

No.	Counterparty for settlement with the Company	Settlement risk coefficient
1	Governments, issuers guaranteed by the Governments, and the Governments and Central Banks of OECD member countries; and People's Committees of provinces and centrally governed municipalities	0%
2	Stock Exchange, Vietnam Securities Depository and Clearing Corporation	0.8%
3	Credit institutions, financial institutions and securities business organizations established in OECD member countries and meeting the credit rating and other requirements under the internal regulations of the securities business organization	3.2%
4	Credit institutions, financial institutions and securities business organizations established outside OECD member countries; or established in OECD member countries but not meeting the other requirements under the Company's internal regulations	4.8%
5	Credit institutions, securities companies, securities investment funds, securities investment fund management companies established and operating in Vietnam	6.0%
6	Other organizations, individuals and entities	8.0%

2. Overdue settlement risk

No.	Overdue period	Risk coefficient	Risk exposure	Risk value
1	From 0 to 15 days after the payment or securities transfer due date	16%	-	-
2	From 16 to 30 days after the payment or securities transfer due date	32%	-	-
3	From 31 to 60 days after the payment or securities transfer due date	48%	-	-
4	More than 60 days after the payment or securities transfer due date	100%	739,944	739,944
TOTAL OVERDUE SETTLEMENT RISK				**739,944**

PRUDENTIAL RATIO STATEMENT

As at 30 June 2026

3. Risk from advances, contracts, and other transactions

No.	Detailed description by counterparty	Risk coefficient	Risk exposure	Risk value
1	Contracts, transactions, and capital uses other than those recorded in points a, b, c, d, đ, e, g Clause 1 Article 10; repurchase or resale commitments of securities or contracts of a similar nature, except for those specified in points c and d Clause 1 Article 10; receivables arising from debt trading with counterparties other than the Vietnam Asset Management Company (VAMC) and the Vietnam Debt and Asset Trading Corporation (DATC): Advances exceeding 5% of equity with the remaining settlement period of less than 90 days (details by counterparty).			
	- Contracts or agreements for deposits for real estate purchases and other agreements of a similar economic nature (details by counterparty)	150%	-	-
	- Loans and receivables from other customers not falling under points đ and g Clause 1 Article 10 (details by counterparty)	150%	-	-
	- Other contracts and transactions (details by counterparty)	100%	-	-
	- Advances (details by counterparty):			
	+ From 0% to 2% of equity at the calculation date	8%	-	-
	+ Over 2% to less than 5% of equity at the calculation date	50%	-	-
	+ From 5% or more of equity at the calculation date.	100%	-	-
TOTAL RISK FROM OTHER CONTRACTS AND TRANSACTIONS				-

4. Additional risks

No.	Details of each loan and each partner	Additional amount	Risk coefficient (%)	Risk exposure	Risk value
1	Term deposits with a maturity of more than 3 months at Bac A Commercial Joint Stock Bank – Hang Dau Branch	30%	6%	201,038,823,288	3,618,698,819
2	Term deposits with a maturity of more than 3 months at Ho Chi Minh City Development Joint Stock Commercial Bank – Ba Dinh Branch	10%	6%	57,900,000,000	347,400,000
TOTAL ADDITIONAL RISK					**3,966,098,819**

PRUDENTIAL RATIO STATEMENT

As at 30 June 2026

II. RISK VALUE

C	RISK OF OPERATION	Risk value
I	**Total operating expenses incurred during the 12 months ending 30/06/2026**	**29,943,877,966**
II	**Amounts deducted from the total expense**	**4,321,968,581**
	1. Depreciation expenses	304,167,088
	2. Provision/Reversal of provision for impairment of short-term financial assets and pledged assets	(2,094,369,183)
	3. Provision/Reversal of provision for impairment of long-term financial assets	-
	4. Provision/Reversal of provision for impairment of receivables	-
	5. Provision/Reversal of provision for impairment of other financial assets	-
	6. Loss from the revaluation of financial assets at fair value through profit or loss	6,112,170,676
	7. Interest expense	-
	8. Expense from revaluation of liabilities from issued bonds currently outstanding	-
	9. Expense or income from unrealized foreign exchange differences	-
	10. Financial expenses and other non-cash expenses in operating activities of the Company	-
III	**Total expense after deduction (III = I – II)**	**25,621,909,385**
IV	**25% of total expense after deduction (IV = 25% III)**	**6,405,477,346**
V	**20% of legal capital of the securities institution**	**50,000,000,000**
C	**TOTAL VALUE OF OPERATION RISK (C=Max {IV, V})**	**50,000,000,000**

III. SUMMARY OF RISK AND LIQUIDITY

No.	Targets	Risk value/Liquidity	Note (if any)
1	Total value of market risk	55,626,974,278	
2	Total value of payment risk	19,579,007,387	
3	Total value of operation risk	50,000,000,000	
4	**Total value of risk (4=1+2+3)**	**125,205,981,665**	
5	**Liquidity**	**517,718,382,180**	
6	**Liquidity ratio (6=5/4)**	**413.49%**	

CÔNG TY CỔ PHẦN CHỨNG KHOÁN PHỐ WALL

Vu Thi Yen	Trinh Cong Thang	Nguyen Dang Truong
Preparer	Head of Internal Control	General Director (Pursuant to Power of Attorney No. 24/2024/UQ-WSS dated
		Approved, 13 August 2026